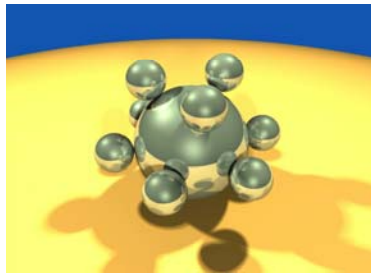


# Computer Graphics using OpenGL, 3<sup>rd</sup> Edition

F. S. Hill, Jr. and S. Kelley



## Chapter 5.1-2 Transformations of Objects

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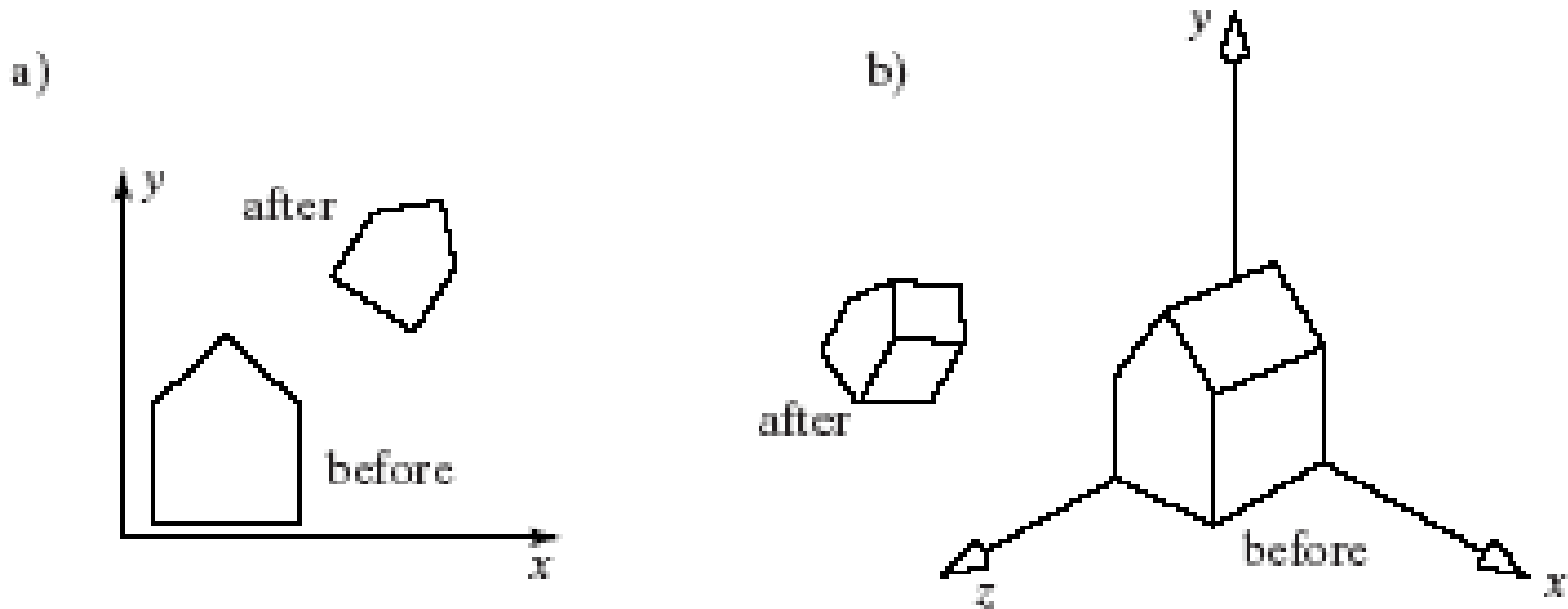
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# Transformations

- We used the window to viewport transformation to scale and translate objects in the world window to their size and position in the viewport.
- We want to build on this idea, and gain more flexible control over the size, orientation, and position of objects of interest.
- To do so, we will use the powerful **affine transformation**.

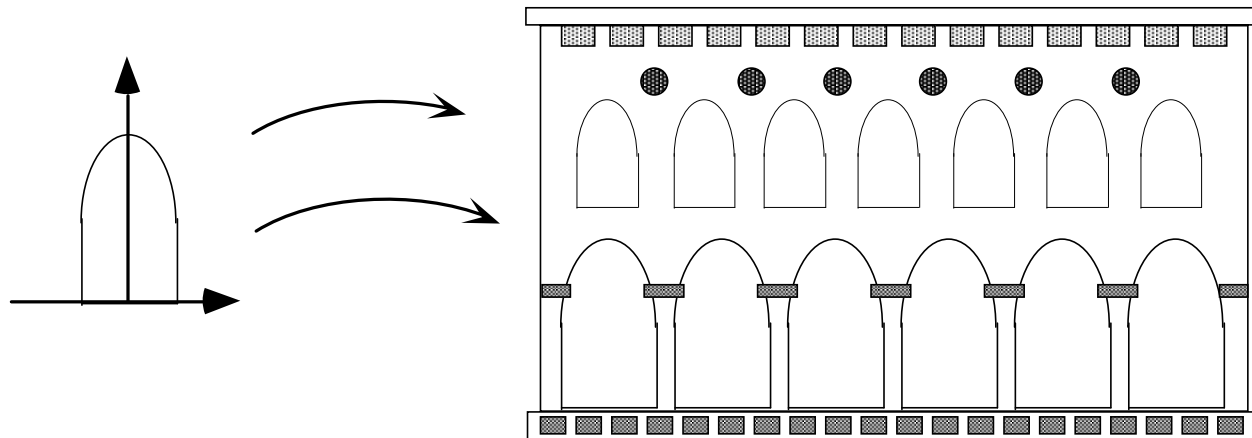
# Example of Affine Transformations

- The house has been scaled, rotated and translated, in both 2D and 3D.



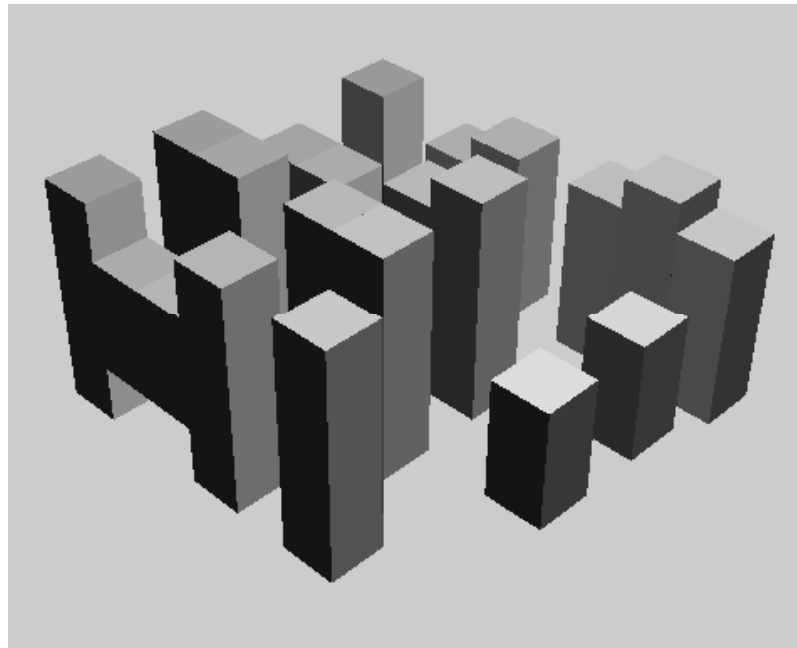
# Using Transformations

- The arch is designed in its own coordinate system.
- The scene is drawn by placing a number of instances of the arch at different places and with different sizes.



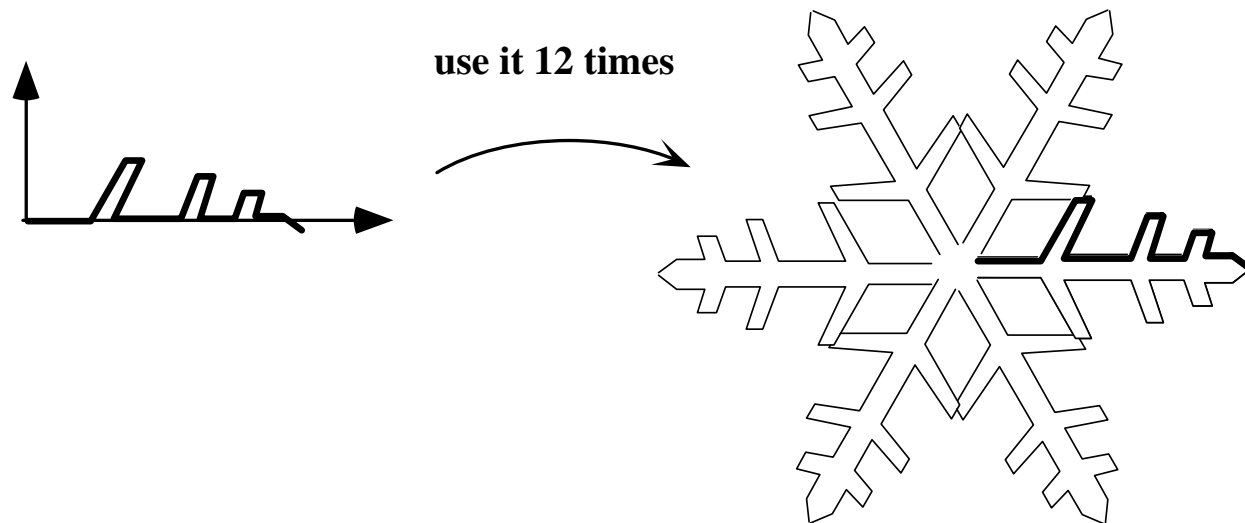
# Using Transformations (2)

- In 3D, many cubes make a city.



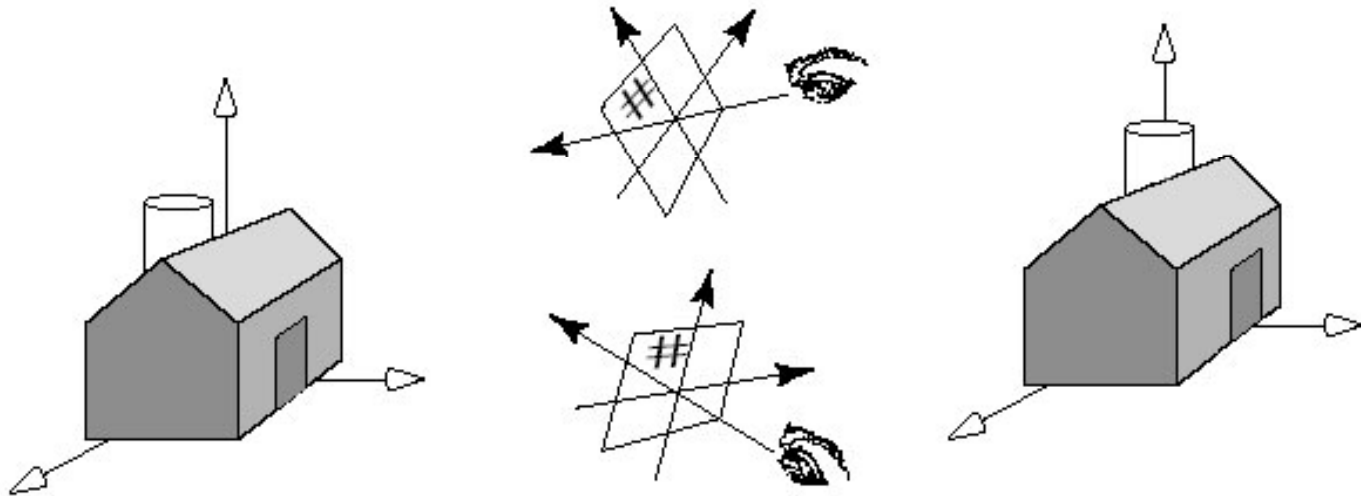
# Using Transformations (3)

- The snowflake exhibits symmetries.
- We design a single **motif** and draw the whole shape using appropriate reflections, rotations, and translations of the motif.



# Using Transformations (4)

- A designer may want to view an object from different vantage points.
- Positioning and reorienting a camera can be carried out through the use of 3D affine transformations.



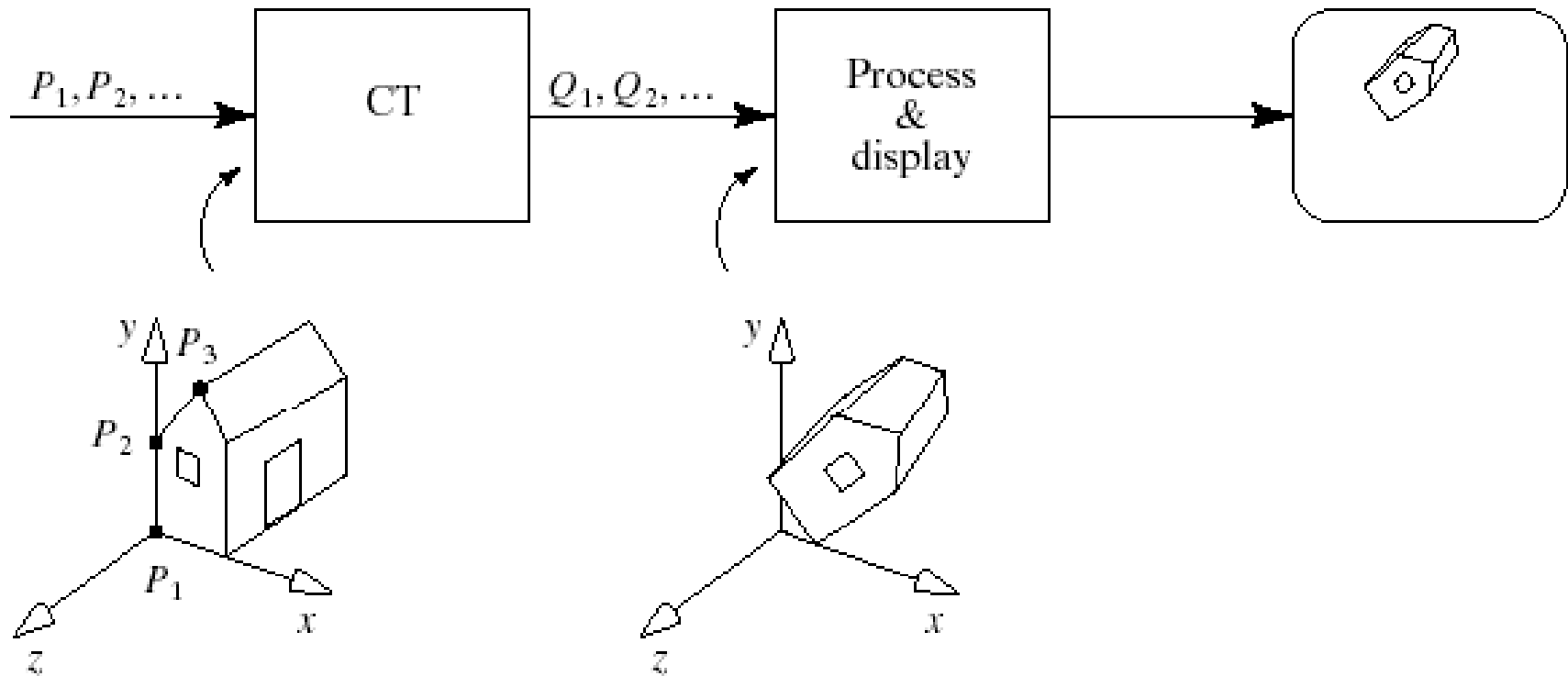
# Using Transformations (5)

- In a computer animation, objects move.
- We make them move by translating and rotating their local coordinate systems as the animation proceeds.
- A number of graphics platforms, including OpenGL, provide a graphics pipeline: a sequence of operations which are applied to all points that are sent through it.
- A drawing is produced by processing each point.



# The OpenGL Graphics Pipeline

- This version is simplified.



# Graphics Pipeline (2)

- An application sends the pipeline a sequence of points  $P_1, P_2, \dots$  using commands such as:  
`glBegin(GL_LINES);`  
    `glVertex3f(...); // send P1 through the pipeline`  
    `glVertex3f(...); // send P2 through the pipeline`  
    `...`  
`glEnd();`
- These points first encounter a transformation called **the current transformation** (CT), which alters their values into a different set of points, say  $Q_1, Q_2, Q_3$ .

# Graphics Pipeline (3)

- Just as the original points  $P_i$  describe some geometric object, the points  $Q_i$  describe the transformed version of the same object.
- These points are then sent through additional steps, and ultimately are used to draw the final image on the display.

# Graphics Pipeline (4)

- Prior to OpenGL 2.0 the pipeline was of *fixed-functionality*: each stage had to perform a specific operation in a particular manner.
- With OpenGL 2.0 and the Shading Language (GLSL), the application programmer could not only change the order in which some operations were performed, but in addition could make the operations **programmable**.
- This allows hardware and software developers to take advantage of new algorithms and rendering techniques and still comply with OpenGL version 2.0.

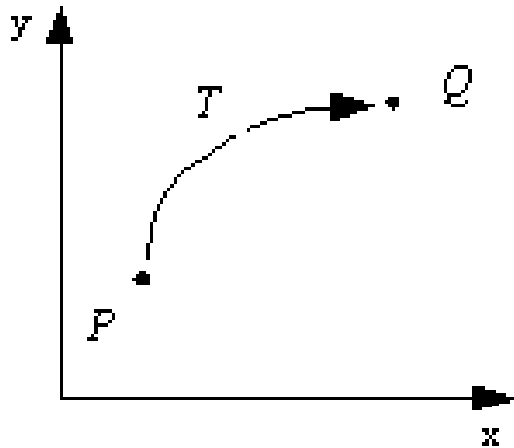
# Transformations

- Transformations change 2D or 3D points and vectors, or change coordinate systems.
  - An object transformation alters the coordinates of each point on the object according to the same rule, leaving the underlying coordinate system fixed.
  - A coordinate transformation defines a new coordinate system in terms of the old one, then represents all of the object's points in this new system.
- Object transformations are easier to understand, so we will do them first.

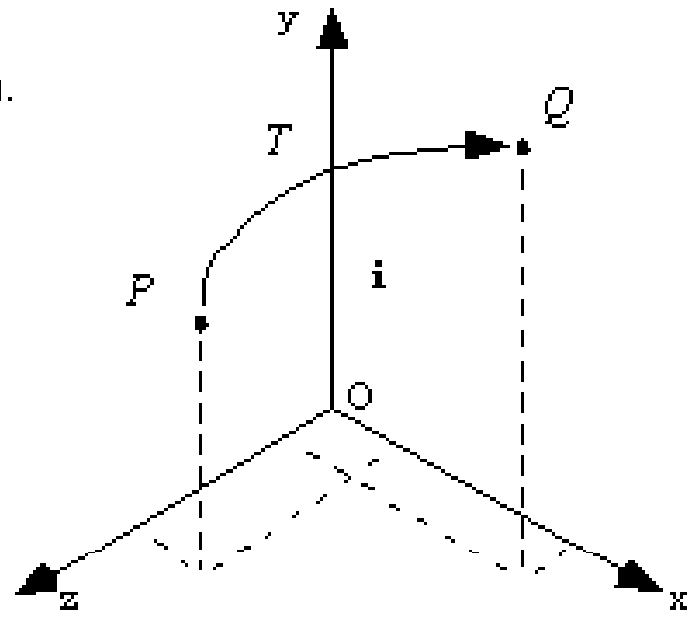
# Transformations (2)

- A (2D or 3D) transformation  $T(\ )$  alters each point,  $P$  into a new point,  $Q$ , using a specific formula or algorithm:  $Q = T(P)$ .

a).



b).



# Transformations (3)

- An arbitrary point  $P$  in the plane is **mapped** to  $Q$ .
- $Q$  is the **image** of  $P$  under the mapping  $T$ .
- We transform an object by transforming each of its points, using the *same* function  $T()$  for each point.
- The **image** of line  $L$  under  $T$ , for instance, consists of the images of *all* the individual points of  $L$ .

# Transformations (4)

- Most mappings of interest are continuous, so the image of a straight line is still a connected curve of some shape, although it's not necessarily a straight line.
- Affine transformations, however, *do* preserve lines: the image under  $T$  of a straight line is also a straight line.



# Transformations (5)

- We use an explicit coordinate frame when performing transformations.
- A coordinate frame consists of a point  $\mathcal{O}$ , called the **origin**, and some mutually perpendicular vectors (called **i** and **j** in the 2D case; **i**, **j**, and **k** in the 3D case) that serve as the axes of the coordinate frame.
- In 2D, 
$$\tilde{P} = \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}, \tilde{Q} = \begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix}$$

# Transformations (6)

- Recall that this means that point  $\mathcal{P}$  is at location  $= \mathcal{P}_x \mathbf{i} + \mathcal{P}_y \mathbf{j} + \mathcal{O}$ , and similarly for  $\mathcal{Q}$ .
- $\mathcal{P}_x$  and  $\mathcal{P}_y$  are the coordinates of  $\mathcal{P}$ .
- To get from the origin to point  $\mathcal{P}$ , move amount  $\mathcal{P}_x$  along axis  $\mathbf{i}$  and amount  $\mathcal{P}_y$  along axis  $\mathbf{j}$ .

# Transformations (7)

- Suppose that transformation  $T$  operates on any point  $\mathcal{P}$  to produce point  $\mathcal{Q}$ :

- $$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = T \left( \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix} \right) \quad \text{or } \mathcal{Q} = T(\mathcal{P}).$$

- $T$  may be any transformation: e.g.,

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} \cos(P_x)e^{-P_x} \\ \frac{\ln(P_y)}{1+P_x^2} \\ 1 \end{pmatrix}$$

# Transformations (8)

- To make **affine** transformations we restrict ourselves to much simpler families of functions, those that are *linear* in  $P_x$  and  $P_y$ .
- Affine transformations make it easy to scale, rotate, and reposition figures.
- Successive affine transformations can be combined into a single overall affine transformation.

# Affine Transformations

- Affine transformations have a compact matrix representation.
- The matrix associated with an affine transformation operating on 2D vectors or points must be a three-by-three matrix.
  - This is a direct consequence of representing the vectors and points in homogeneous coordinates.

# Affine Transformations (2)

- Affine transformations have a simple form.
- Because the coordinates of  $\mathcal{Q}$  are *linear* combinations of those of  $\mathcal{P}$ , the transformed point may be written in the form:

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} m_{11}P_x + m_{12}P_y + m_{13} \\ m_{21}P_x + m_{22}P_y + m_{23} \\ 1 \end{pmatrix}$$

# Affine Transformations (3)

- There are six given constants:  $m_{11}$ ,  $m_{12}$ , etc.
- The coordinate  $Q_x$  consists of portions of both  $P_x$  and  $P_y$ , and so does  $Q_y$ .
- This *combination* between the  $x$ - and  $y$ -components also gives rise to rotations and shears.

# Affine Transformations (4)

- Matrix form of the affine transformation in

2D:

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$

- For a 2D affine transformation the third row of the matrix is always  $(0, 0, 1)$ .



# Affine Transformations (5)

- Some people prefer to use row matrices to represent points and vectors rather than column matrices: e.g.,  $P = (P_x, P_y, 1)$
- In this case, the  $P$  vector must *pre-multiply* the matrix, and the transpose of the matrix must be used:  $Q = P M^T$ .

$$M^T = \begin{pmatrix} m_{11} & m_{21} & 0 \\ m_{12} & m_{22} & 0 \\ m_{13} & m_{23} & 1 \end{pmatrix}$$

# Affine Transformations (6)

- Vectors can be transformed as well as points.
- If a 2D vector  $\mathbf{v}$  has coordinates  $V_x$  and  $V_y$  then its coordinate frame representation is a column vector with third component 0.

# Affine Transformations (7)

- When vector  $\mathbf{V}$  is transformed by the same affine transformation as point  $P$ , the result

is

$$\begin{pmatrix} W_x \\ W_y \\ 0 \end{pmatrix} = \begin{pmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} V_x \\ V_y \\ 0 \end{pmatrix}$$

- **Important:** to transform a point  $P$  into a point  $Q$ , *post-multiply*  $M$  by  $P$ :  $Q = M P$ .

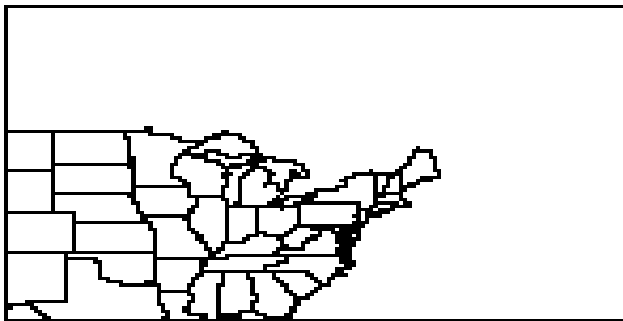
# Affine Transformations (8)

- Example: find the image  $Q$  of point  $P = (1, 2, 1)$  using the affine transformation

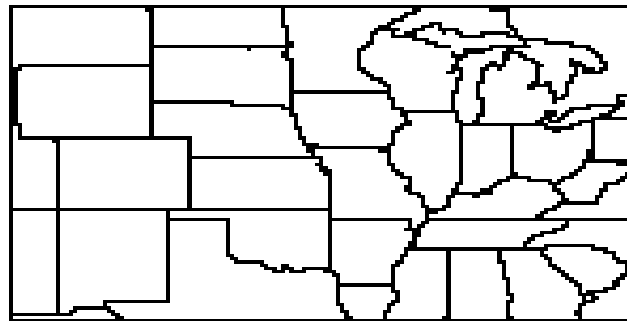
$$M = \begin{pmatrix} 3 & 0 & 5 \\ -2 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix}; Q = \begin{pmatrix} 8 \\ 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 3 & 0 & 5 \\ -2 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}$$

# Geometric Effects of Affine Transformations

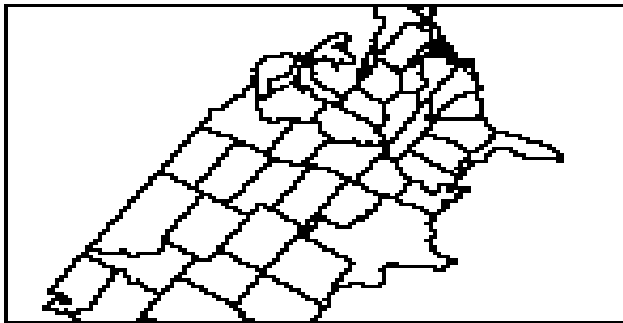
- Combinations of four elementary transformations: (a) a translation, (b) a scaling, (c) a rotation, and (d) a shear (all shown below).



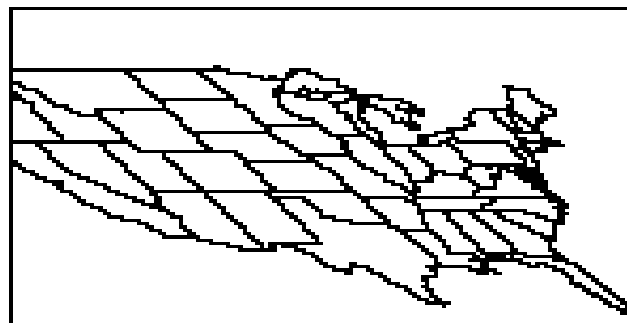
a)



b)



c)



d)

# Translations

- The amount  $P$  is translated does not depend on  $P$ 's position.
- It is meaningless to translate vectors.
- To translate a point  $P$  by  $a$  in the  $x$  direction and  $b$  in the  $y$  direction use the matrix:

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & a \\ 0 & 1 & b \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix} = \begin{pmatrix} Q_x + a \\ Q_y + b \\ 1 \end{pmatrix}$$

- Only using homogeneous coordinates allow us to include translation as an affine transformation.

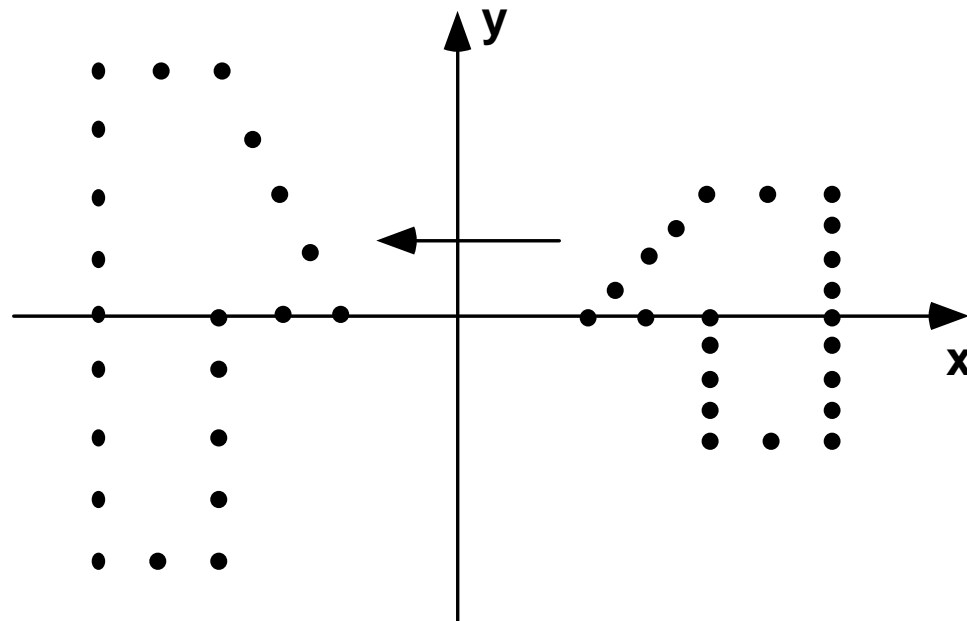
# Scaling

- Scaling is about the origin. If  $S_x = S_y$  the scaling is uniform; otherwise it distorts the image.
- If  $S_x$  or  $S_y < 0$ , the image is reflected across the x or y axis.
- The matrix form is

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} S_x & 0 & 0 \\ 0 & S_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$

# Example of Scaling

- The scaling  $(S_x, S_y) = (-1, 2)$  is applied to a collection of points. Each point is both reflected about the  $y$ -axis and scaled by 2 in the  $y$ -direction.





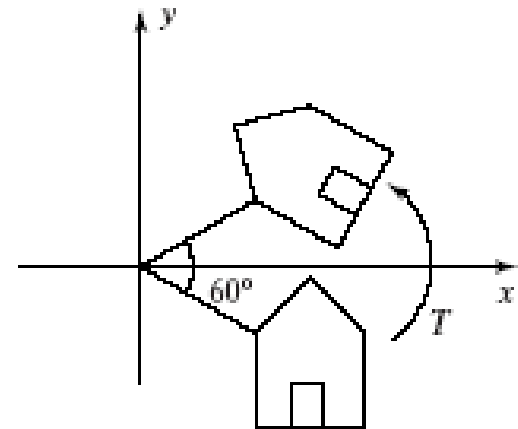
# Types of Scaling

- Pure reflections, for which each of the scale factors is  $+1$  or  $-1$ .
- A **uniform scaling**, or a magnification about the origin:  $S_x = S_y$ , magnification  $|S|$ .
  - Reflection also occurs if  $S_x$  or  $S_y$  is negative.
  - If  $|S| < 1$ , the points will be moved closer to the origin, producing a reduced image.
- If the scale factors are not the same, the scaling is called a **differential scaling**.

# Rotation

- Counterclockwise around origin by angle  $\theta$ :

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$



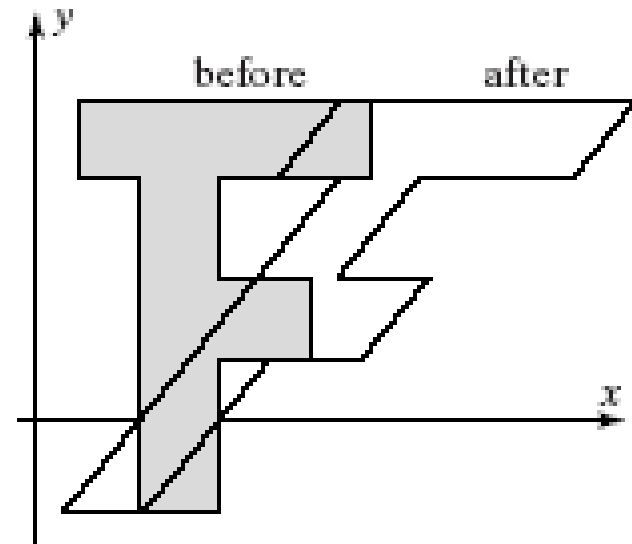
# Deriving the Rotation Matrix

- $P$  is at distance  $R$  from the origin, at angle  $\Phi$ ; then  $P = (R \cos(\Phi), R \sin(\Phi))$ .
- $Q$  must be at the same distance as  $P$ , and at angle  $\theta + \Phi$ :  $Q = (R \cos(\theta + \Phi), R \sin(\theta + \Phi))$ .
- $\cos(\theta + \Phi) = \cos(\theta) \cos(\Phi) - \sin(\theta) \sin(\Phi)$ ;  
 $\sin(\theta + \Phi) = \sin(\theta) \cos(\Phi) + \cos(\theta) \sin(\Phi)$ .
- Use  $P_x = R \cos(\Phi)$  and  $P_y = R \sin(\Phi)$ .

# Shear

- Shear H about origin:  
x depends linearly on  
y in the figure.
- Shear along x:  $h \neq 0$ ,  
and  $P_x$  depends on  $P_y$   
(for example, *italic*  
letters).
- Shear along y:  $g \neq 0$ ,  
and  $P_y$  depends on  
 $P_x$ .

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & h & 0 \\ g & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$



# Inverses of Affine Transformations

- $\det(M) = m_{11} * m_{22} - m_{21} * m_{12} \neq 0$  means that the inverse of a transformation exists.
  - That is, the transformation can be "undone".
- $M M^{-1} = M^{-1}M = I$ , the identity matrix (ones down the major diagonal and zeroes elsewhere).

# Inverse Translation and Scaling

- Inverse of translation  $T^{-1}$ :

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & -t_x \\ 0 & 1 & -t_y \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$

- Inverse of scaling  $S^{-1}$ :

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} 1/S_x & 0 & 0 \\ 0 & 1/S_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$

# Inverse Rotation and Shear

- Inverse of rotation  $R^{-1} = R(-\theta)$ :

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta) & \sin(\theta) & 0 \\ -\sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix}$$

- Inverse of shear  $H^{-1}$ : generally  $h=0$  or  $g=0$ .

$$\begin{pmatrix} Q_x \\ Q_y \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & -h & 0 \\ -g & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} P_x \\ P_y \\ 1 \end{pmatrix} \frac{1}{1-gh}$$

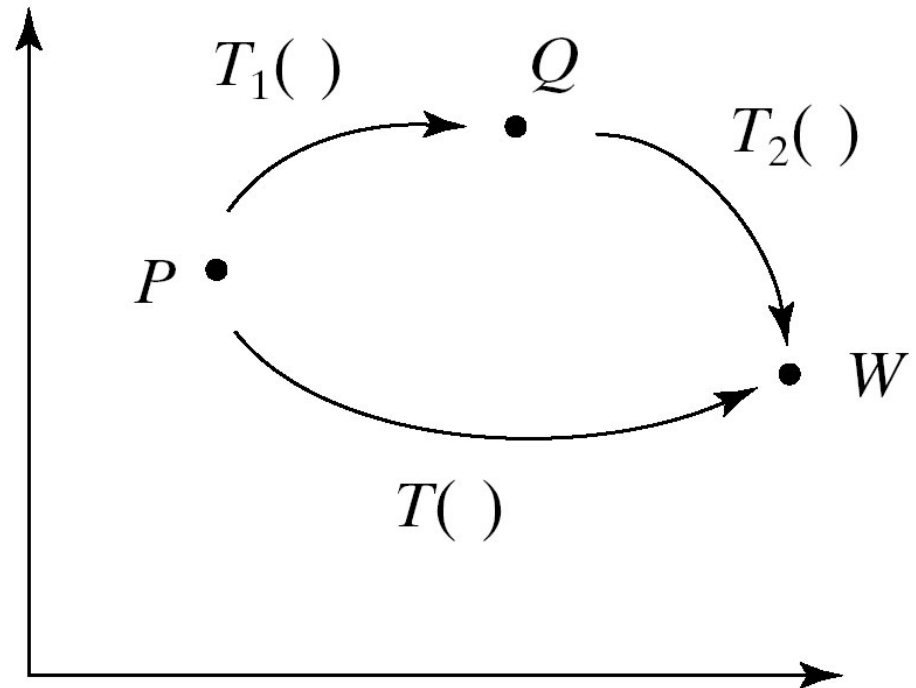
# Composing Affine Transformations

- Usually, we want to apply several affine transformations in a particular order to the figures in a scene: for example,
  - translate by  $(3, -4)$
  - then rotate by  $30^\circ$
  - then scale by  $(2, -1)$  and so on.
- Applying successive affine transformations is called **composing** affine transformations.



# Composing Affine Transformations (2)

- $T_1(\cdot)$  maps  $P$  into  $Q$ , and  $T_2(\cdot)$  maps  $Q$  into point  $W$ . Is  $W = T_2(Q) = T_2(T_1(P))$  affine?
- Let  $T_1 = M_1$  and  $T_2 = M_2$ , where  $M_1$  and  $M_2$  are the appropriate matrices.
- $W = M_2(M_1P) = (M_2M_1)P = MP$  by associativity.
- So  $M = M_2M_1$ , the product of 2 matrices (in reverse order of application), which is affine.



# Composing Affine Transformations: Examples

- To rotate around an arbitrary point:  
translate  $P$  to the origin, rotate, translate  $P$   
back to original position.  $Q = T_P R T_{-P} P$
- Shear around an arbitrary point:  
 $Q = T_P H T_{-P} P$
- Scale about an arbitrary point:  
 $Q = T_P S T_{-P} P$

# Composing Affine Transformations (Examples)

- Reflect across an arbitrary line through the origin  $\mathcal{O}$ :  $Q = R(\theta) S R(-\theta) P$
- The rotation transforms the axis to the x-axis, the reflection is a scaling, and the last rotation transforms back to the original axis.
- Window-viewport: Translate by  $-w.l$ ,  $-w.b$ , scale by  $A$ ,  $B$ , translate by  $v.l$ ,  $v.b$ .

# Properties of 2D and 3D Affine Transformations

- Affine transformations *preserve* affine combinations of points.
  - $W = a_1P_1 + a_2P_2$  is an affine combination.
  - $MW = a_1MP_1 + a_2MP_2$
- Affine transformations preserve lines and planes.
  - A line through A and B is  $L(t) = (1-t)A + tB$ , an affine combination of points.
  - A plane can also be written as an affine combination of points:  $P(s, a) = sA + tB + (1 - s - t)C$ .

# Properties of Transformations (2)

- Parallelism of lines and planes is preserved.
  - Line  $A + \mathbf{b}t$  having direction  $\mathbf{b}$  transforms to the line given in homogeneous coordinates by  $M(A + \mathbf{b}t) = MA + M\mathbf{b}t$ , which has direction vector  $M\mathbf{b}$ .
  - $M\mathbf{b}$  does *not* depend on point  $A$ . Thus two different lines  $A_1 + \mathbf{b}t$  and  $A_2 + \mathbf{b}t$  that have the same direction will transform into two lines both having the direction, so they *are* parallel.
- An important consequence of this property is that *parallelograms map into other parallelograms*.

# Properties of Transformations (3)

- The direction vectors for a plane also transform into new direction vectors independent of the location of the plane.
- As a consequence, parallelepipeds map into other parallelepipeds.

# Properties of Transformations (4)

- The columns of the matrix reveal the transformed coordinate frame:
  - Vector  $\mathbf{i}$  transforms into column  $m_1$ , vector  $\mathbf{j}$  into column  $m_2$ , and the origin  $\mathcal{O}$  into point  $m_3$ .
  - The coordinate frame  $(\mathbf{i}, \mathbf{j}, \mathcal{O})$  transforms into the coordinate frame  $(\mathbf{m}_1, \mathbf{m}_2, m_3)$ , and these new objects are precisely the columns of the matrix.

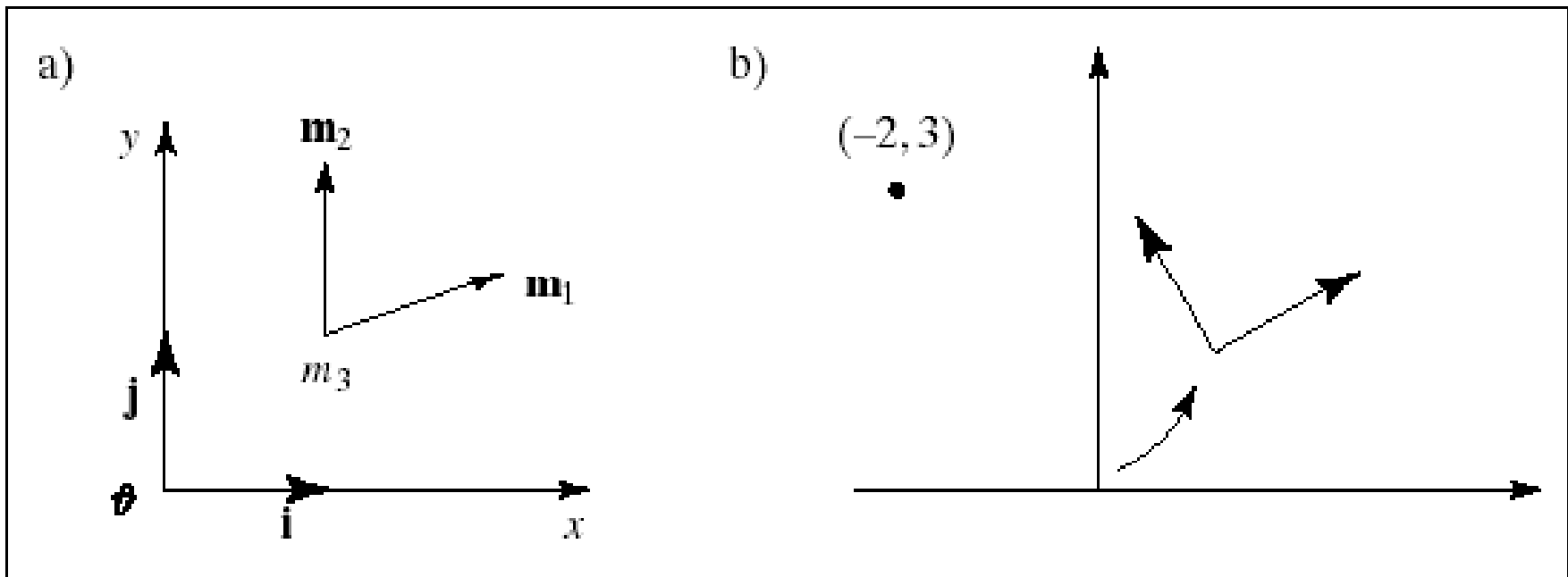
$$M = \begin{pmatrix} m_{11} & m_{12} & m_{13} \\ m_{21} & m_{22} & m_{23} \\ 0 & 0 & 1 \end{pmatrix} = (m_1 \mid m_2 \mid m_3)$$

# Properties of Transformations (5)

- The axes of the new coordinate frame are not necessarily perpendicular, nor must they be unit length.
  - They are still perpendicular if the transformation involves only rotations and uniform scalings.
- Any point  $P = P_x \mathbf{i} + P_y \mathbf{j} + \mathcal{O}$  transforms into  $Q = P_x \mathbf{m}_1 + P_y \mathbf{m}_2 + m_3$ .

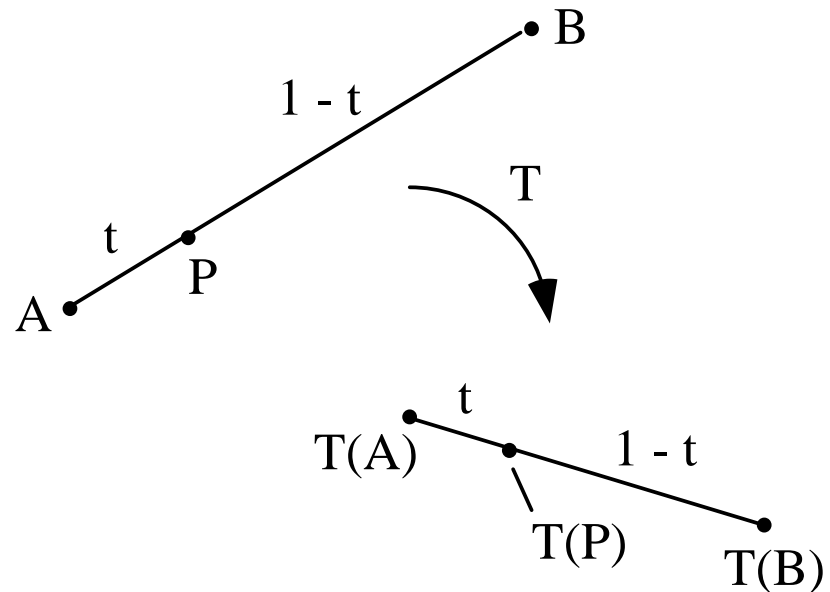


# Properties of Transformations (6)



# Properties of Transformations (7)

- Relative ratios are preserved: consider point  $P$  lying a fraction  $t$  of the way between two given points,  $A$  and  $B$  (see figure).
- Apply affine transformation  $T(\ )$  to  $A$ ,  $B$ , and  $P$ .
- The transformed point,  $T(P)$ , lies the *same* fraction  $t$  of the way between images  $T(A)$  and  $T(B)$ .



# Properties of Transformations (8)

- How is the area of a figure affected by an affine transformation?
- It is clear that neither translations nor rotations have any effect on the area of a figure, but scalings certainly do, and shearing might.
- The result is simple: When the 2D transformation with matrix  $M$  is applied to an object, its area is multiplied by the *magnitude of the determinant of  $M$* :

$$\frac{\textit{area after transformation}}{\textit{area before transformation}} = |\det M|$$

# Properties of Transformations (9)

- In 2D the determinant of the matrix  $M$  is  $(m_{11}m_{22} - m_{12}m_{21})$ .
- For a pure scaling, the new area is  $S_x S_y$  times the original area, whereas for a shear along one axis the new area is the same as the original area.
- In 3D similar arguments apply, and we can conclude that the volume of a 3D object is scaled by  $|\det M|$  when the object is transformed by the 3D transformation based on matrix  $M$ .

# Properties of Transformations (10)

- Every affine transformation is composed of elementary operations.
- A matrix may be factored into a product of elementary matrices in various ways. One particular way of factoring the matrix associated with a 2D affine transformation yields
$$M = (\text{shear})(\text{scaling})(\text{rotation})(\text{translation})$$
- That is, any  $3 \times 3$  matrix that represents a 2D affine transformation can be written as the product of (reading right to left) a translation matrix, a rotation matrix, a scaling matrix, and a shear matrix.